

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number	10692264
Filing Date	2003-10-23
First Named Inventor	Jon Cargille
Art Unit	2194
Examiner Name	CHARLES E ANYA
Attorney Docket Number	MS1-1815US

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	5764897		1998-06-09	Khalidi	
	2	5845067		1998-12-01	Porter, ; et al.	
	3	6308273		2001-10-23	Goertzel, ; et al.	
	4	6405317		2002-06-11	Flenley, et al.	
	5	6446077		2002-09-03	Straube, ; et al.	
	6	6675299		2004-01-06	Porter, ; et al.	
	7	6850893		2005-02-01	Lipkin, ; et al.	

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
	1	Bertino, et al., "Advanced Transaction Processing in Multilevel Secure File Stores"; IEEE Transactions on Knowledge and Data Engineering. Vol 10. No 1 pp 120-135 1998.	<input type="checkbox"/>
	2	Hildebrand, "Implementing the Win32 API Over a POSIX Real-Time OS"; Windows NT; Real-Time Magazine 97-2, pp 25-26, 28-30.	<input type="checkbox"/>
	3	Spector, et al., "Transactions: A Construct for Reliable Distributed Computing"; Department of Computer Science, Carnegie-Mellon University; pp 18-35.	<input type="checkbox"/>

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	<i>Keith W. Saunders</i>	Date (YYYY-MM-DD)	2007-06-05
Name/Print	Keith W. Saunders	Registration Number	41462

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